# University of Southampton

#### Introduction

Digital histology imaging of biopsy tissue can be captured at arbitrary orientations and magnification, resulting in cells appearing in different scales. Incorporating rotation or scale equivariance into CNNs has proved to be effective in improving models' generalization performance. In this paper, we introduce Rotation-Scale Equivariant Steerable Filter (RSESF), which utilizes filter steerability and Gaussian scale-space theory to parameterize convolutional filters, resulting in an equivariant layer that is stable to rotation and scale variations.

#### Method

**Filter Construction.** (The visual illustration is shown in Fig 1)

$$F_{k}^{l}(c^{l}, x, y, \sigma_{k}^{l}, \theta_{r}; c^{l-1}) = \sum_{i,j>0}^{i+j \le N} \alpha_{i,j,c^{l},c^{l-1}}^{l} G_{\theta_{r}}^{i,j}(x, y; \sigma_{k}^{l}), 1 \le k \le \gamma, \theta_{r} = \frac{2}{2}$$

**Equivariant Convolution.** The first layer (l=1):

$$f_{k,c^{1},r}^{1}(x,y) = \sum_{c^{0}=r,g,b} \sum_{x_{0},y_{0}} F_{k}^{1}(c^{1},x-x_{0},y-y_{0},\sigma_{k}^{1},\theta_{r};c^{0}) f_{c^{0}}^{0}(x_{0},y_{0}),$$

Subsequent Layers (*l*>1):

$$f_{k,c,r^{\prime\prime}}^{l} = \sum_{d=1}^{C^{l-1}} \sum_{r^{\prime}=1}^{R} F_{k,c,d,r^{\prime}}^{l} * f_{k,d,r^{\prime\prime}}^{l-1}, c \in \{1, \cdots, C^{l}\}, k \in \{1, \cdots, T^{l}\}, k \in \{1,$$

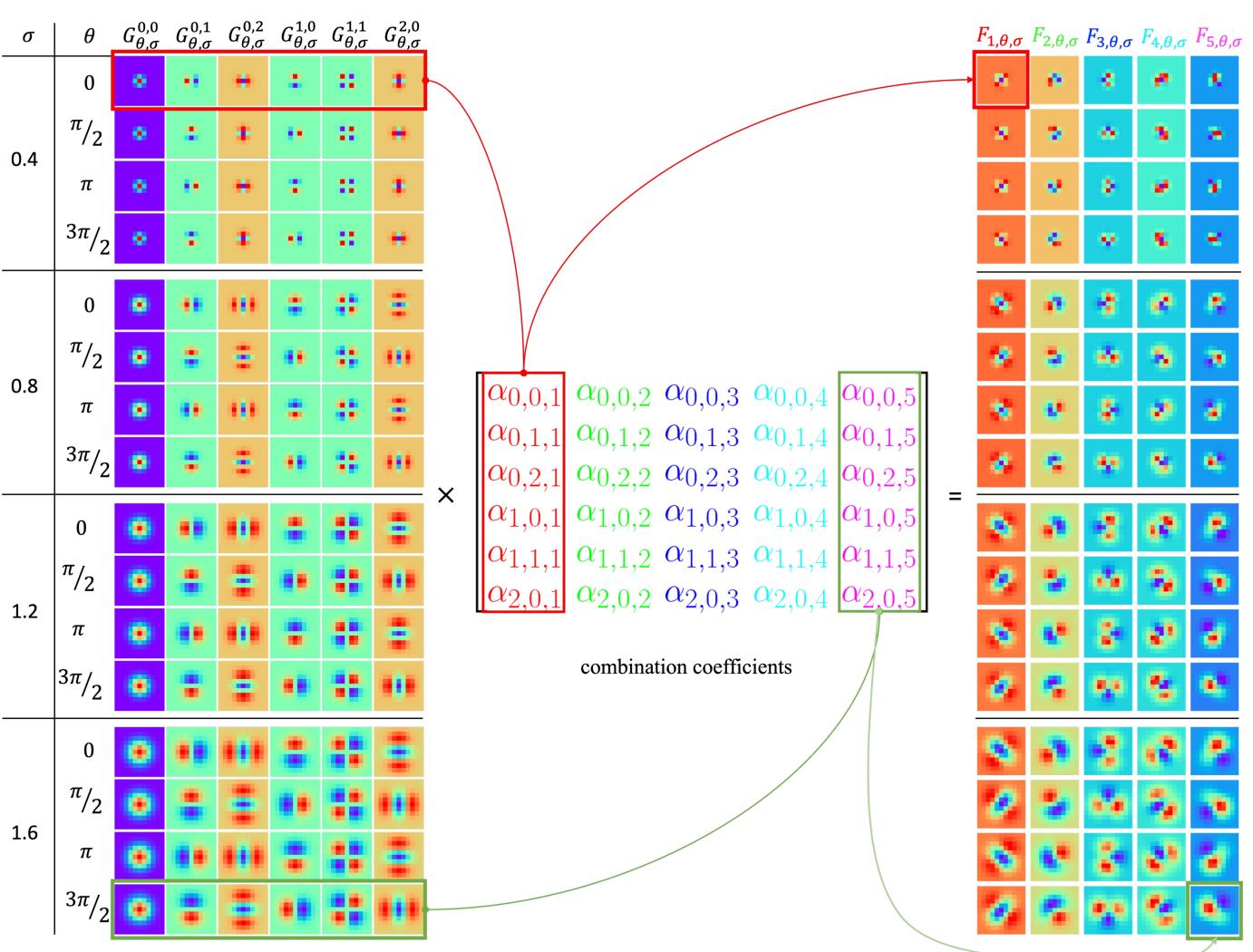


Fig 1. The construction process of an RSESF filter (with 4 scale channels, 4 rotation channels, 1 input channel and 5 output channels) as matrix multiplication.

Code available at: <u>https://github.com/ynulonger/RSESF</u>

## **Rotation-Scale Equivariant Steerable Filters**

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 $\frac{2\pi r}{p}$ ,  $1 \le r \le R$  (1)

 $1 \le c^1 \le C^1 \quad (2)$ 

(3)

Memory Efficient Training. Since there is no inter-rotation interaction between rotation channels, we are allowed to train the network within only one rotation channel, but then other *R*-1 rotation channels are created at inference.

#### Experiments

Datasets. 1) Gland Segmentation (GlaS) datatset; 2) Colorectal Adenocarcinoma Gland (CRAG) dataset, 3) synthetic texture dataset. Evaluation Regime. In order to evaluate models' generalization capacity to rotation and scale variations, we design the Out-Of-Distribution (OOD) test, as the complement of the normally used In-Distribution (ID) test. See Table 1 for detail.

Eval Regime	Training Images					
ID	Randomly rotated, re-scaled	-				
OOD	Original	]				

Table 1. Details of ID and OOD setting.

Results

**ID testing.** The model with RSESF filters outperforms standard CNN. Interestingly, the RSESF model has significantly fewer parameters, comprising only 4.21% of the CNN model. **OOD testing.** RSESF outperforms other compared methods on CRAG and GlaS datasets, achieving competitive results on the texture dataset. Notably, the RSESF achieves state-of-the-art mIoU but is much

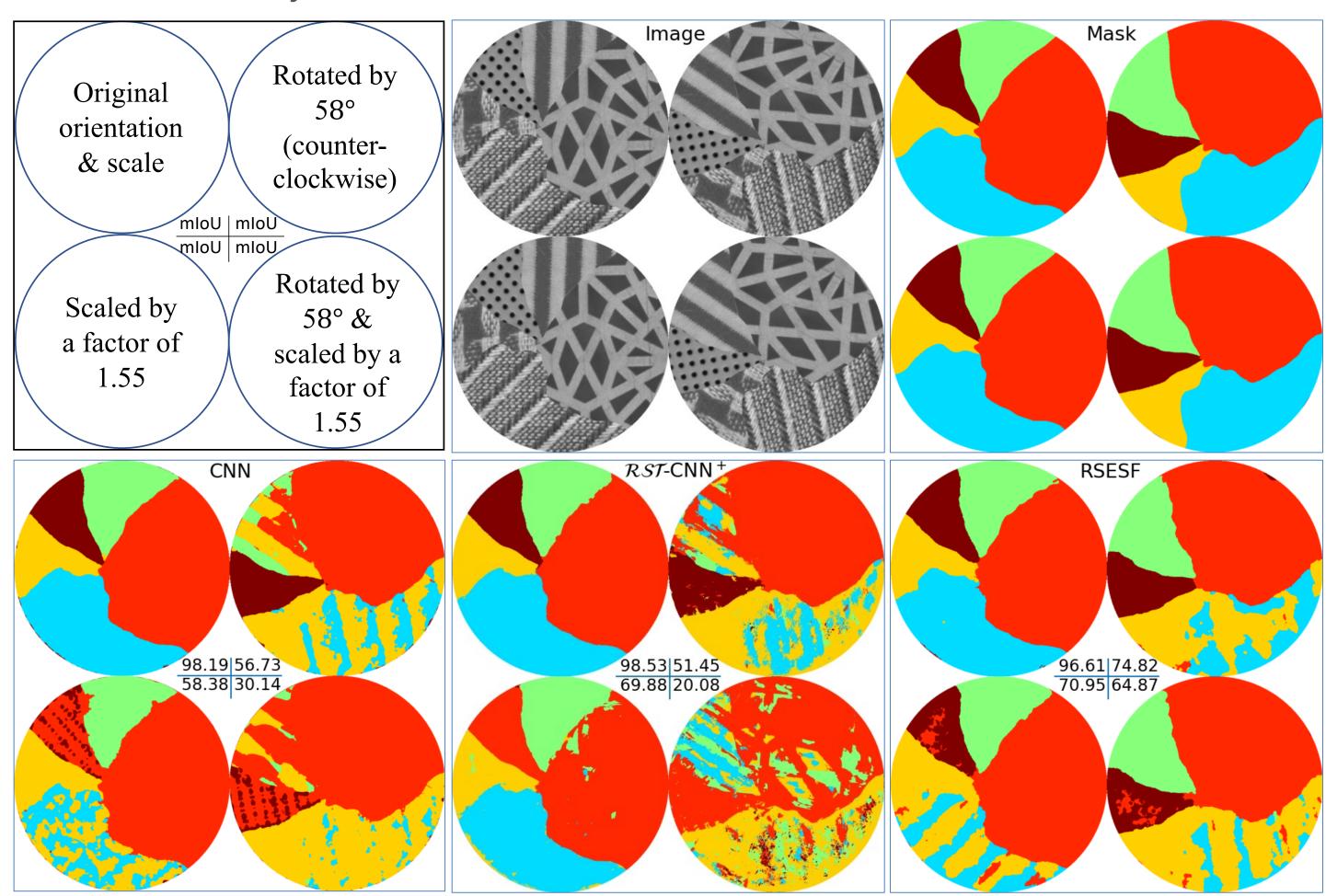


Fig 3. Some visual clues of how equivariant models demonstrate superiority on different versions of test images.

**Testing Images** 

Randomly rotated, re-scaled

Randomly rotated, Re-scaled

Filter	Params	GPU	NT	•	$\gamma$ R	ID Testing		OOD Testing	
$\mathbf{Type}$	$(\mathbf{M})$	(GB)	$N_{f}$	$\gamma$		$\mathbf{GlaS}^*$	$\mathbf{CRAG}^*$	$\mathbf{GlaS}$	CRAG
CNN	29.85	4.48	64	1	1	75.65	75.20	54.19	69.86
RDCF	2.42	5.07	8	1	8	84.38	86.08	55.33	63.38
${ m E}(2){ m CNN}$	7.03	7.65	8	1	8	84.19	87.91	52.92	80.79
H-Nets	13.98	7.65	64	1	1	72.47	74.44	57.63	59.34
SDCF	9.66	5.09	16	4	1	83.43	82.75	40.13	68.43
SESN	9.66	5.06	16	4	1	81.77	83.85	51.00	67.51
SEUNet	1.22	5.05	16	4	1	82.50	84.38	58.91	80.30
$\mathcal{RST} ext{-}\mathrm{CNN}$	0.15	5.06	2	4	8	77.76	78.37	42.90	61.15
$\mathcal{RST} ext{-}\mathrm{CNN}^+$	1.36	15.12	6	4	8	84.08	85.09	56.43	72.02
RSESF	1.22	5.05	16	4	1, 8	83.10	85.00	60.60	82.15

Table 2. Model Size vs. mIoU vs. GPU Requirement. Columns  $N_f$ ,  $\gamma$ , R denote the number of filters, scale channels, and rotation channels in the first layer of the UNet, respectively.

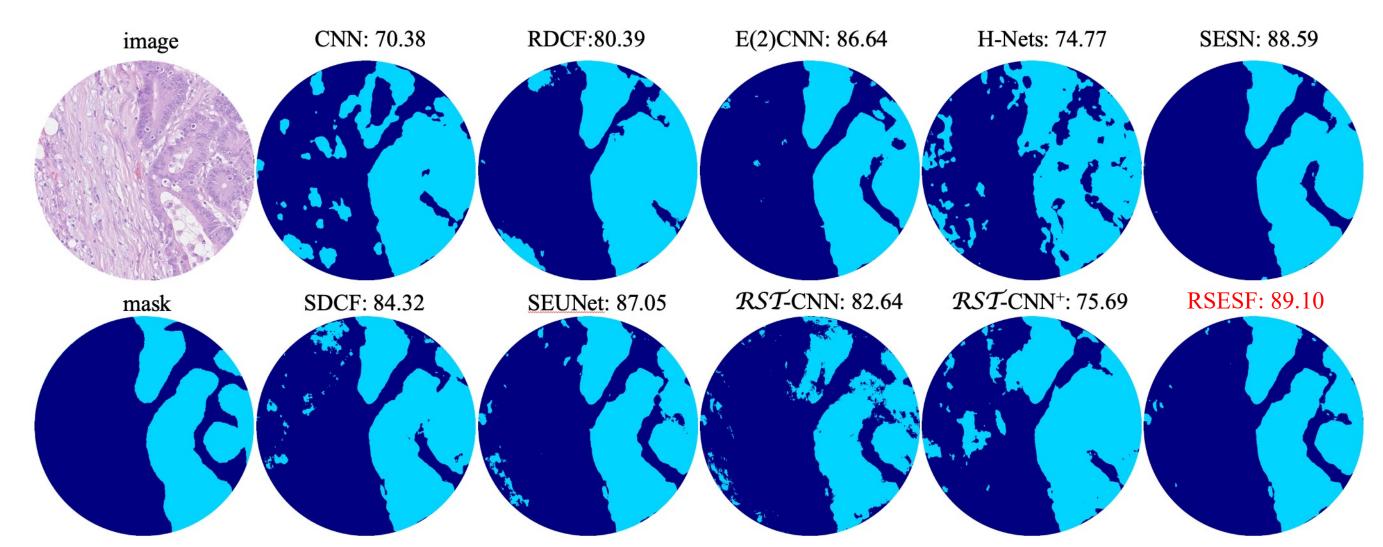


Image source: CRAG dataset. Light blue: gland; dark blue: non-gland.

We demonstrate that RSESF can generalize CNNs to segment images presented in scales and orientations that do not exist in training samples. Models with RSESF filters have much fewer trainable parameters and can be trained in a memory-efficient way, as the nature of decoupled equivariant convolution gives the model flexibility of training on one orientation but inference in multiple orientations.

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smaller and more GPU efficient. Table 2 summarizes the comparison between models in terms of segmentation performance, model size and the amount of GPU memory required for training. Fig 3 and Fig 4 show examples of predictions generated by different methods.

Fig 4. Prediction visualization. mIoU score shown on top of each segmentation map.

#### Conclusion