

## mixup Data Augmentation

Generate synthetic samples using **convex combinations** of training samples and **linear interpolations** of labels.

$$\hat{x} = \lambda x_1 + (1 - \lambda)x_2 \quad \hat{y} = \lambda y_1 + (1 - \lambda)y_2$$

**Assumption:** a model should behave linearly between any two training samples, even if the distance between them is large.

### Problems:

- Can sample data off the data manifold.
- Can generate samples with incorrect labels.

## Proposed Data Augmentation: $\zeta$ -mixup

### Arguments:

- Synthesized samples should have **high confidence of realism**.
- A model should only behave **linearly nearby training samples**.

### Formulation

Synthesize a new sample as **convex combinations of  $N$  samples**

$$\hat{x} = \sum_{i=1}^N w_i x_i; \quad \hat{y} = \sum_{i=1}^N w_i y_i$$

Sample weights from **terms of a  $p$ -series**, apply them to a **randomized ordering  $s$**  of training samples, and **normalize** the weights.

$$w_i = \frac{s_i^{-\gamma}}{C}, \quad i \in [1, N]$$

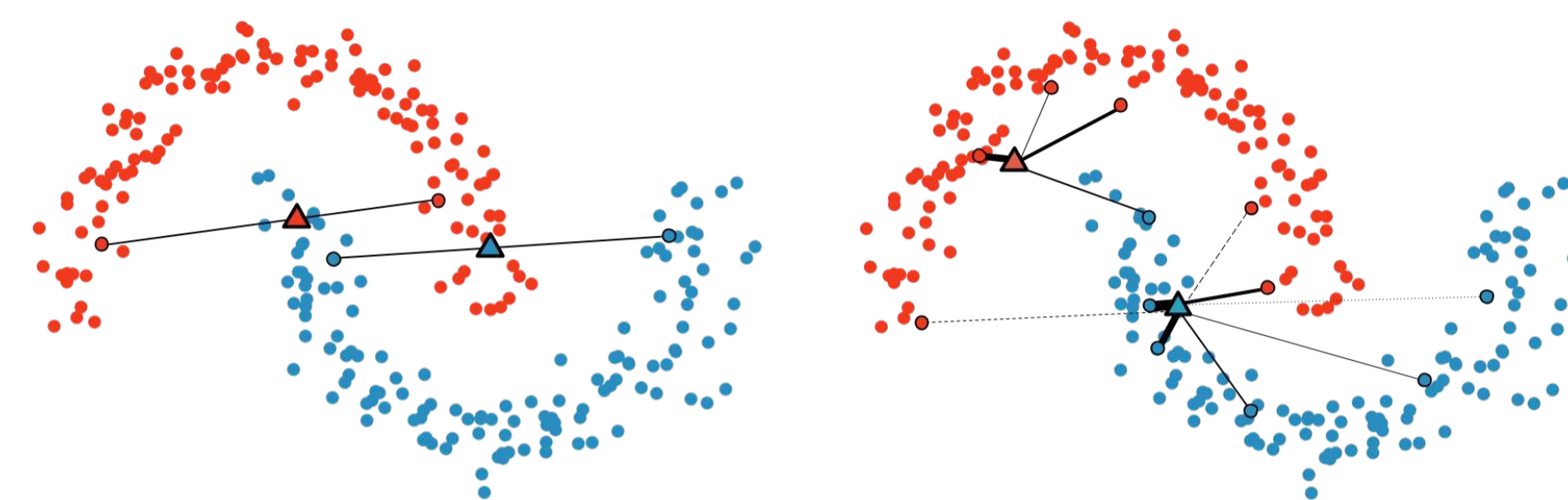
$C = \sum_{j=1}^N j^{-\gamma}$  is the  $N$ -truncated Riemann zeta function at  $\gamma$ ,  $\zeta(\gamma)$ .

$\gamma$ : hyperparameter to control **how far from the original samples** the synthetic samples are created.

### Key properties:

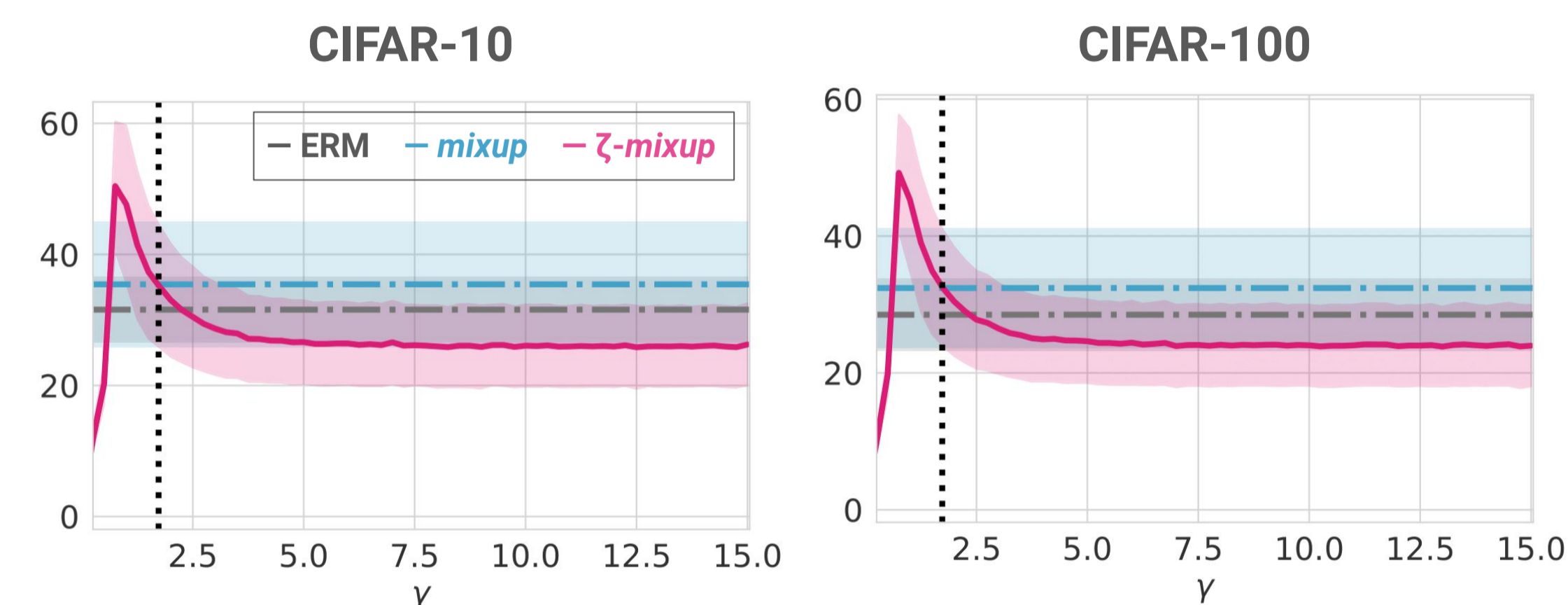
- Can synthesize  **$N!$  new samples** for a single value of  $\gamma$ .
- For  $\gamma \geq 1.72865$ , the weight assigned to one sample dominates all other weights.
- **mixup** is a special case of  $\zeta$ -mixup.

## Results

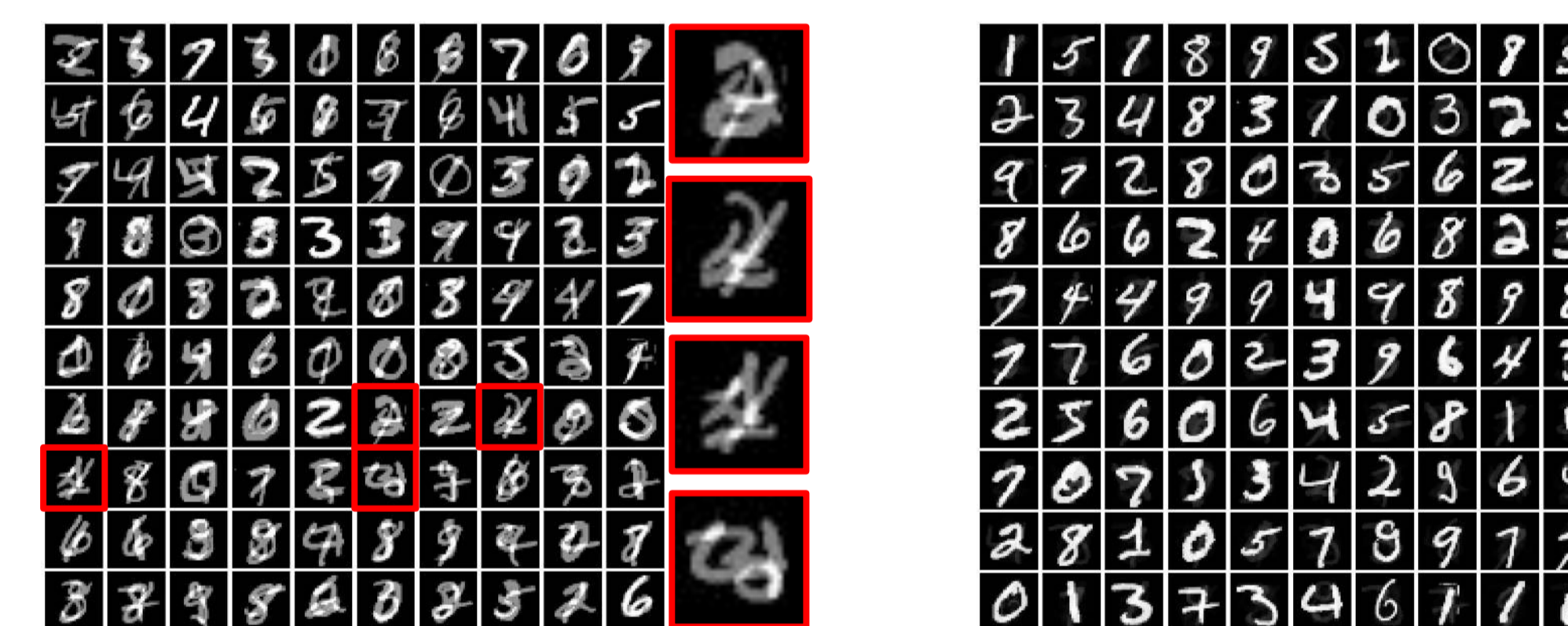


mixup can only mix 2 samples.

$\zeta$ -mixup can mix  $N$  samples (e.g., 4, 8) and respects the data manifold.

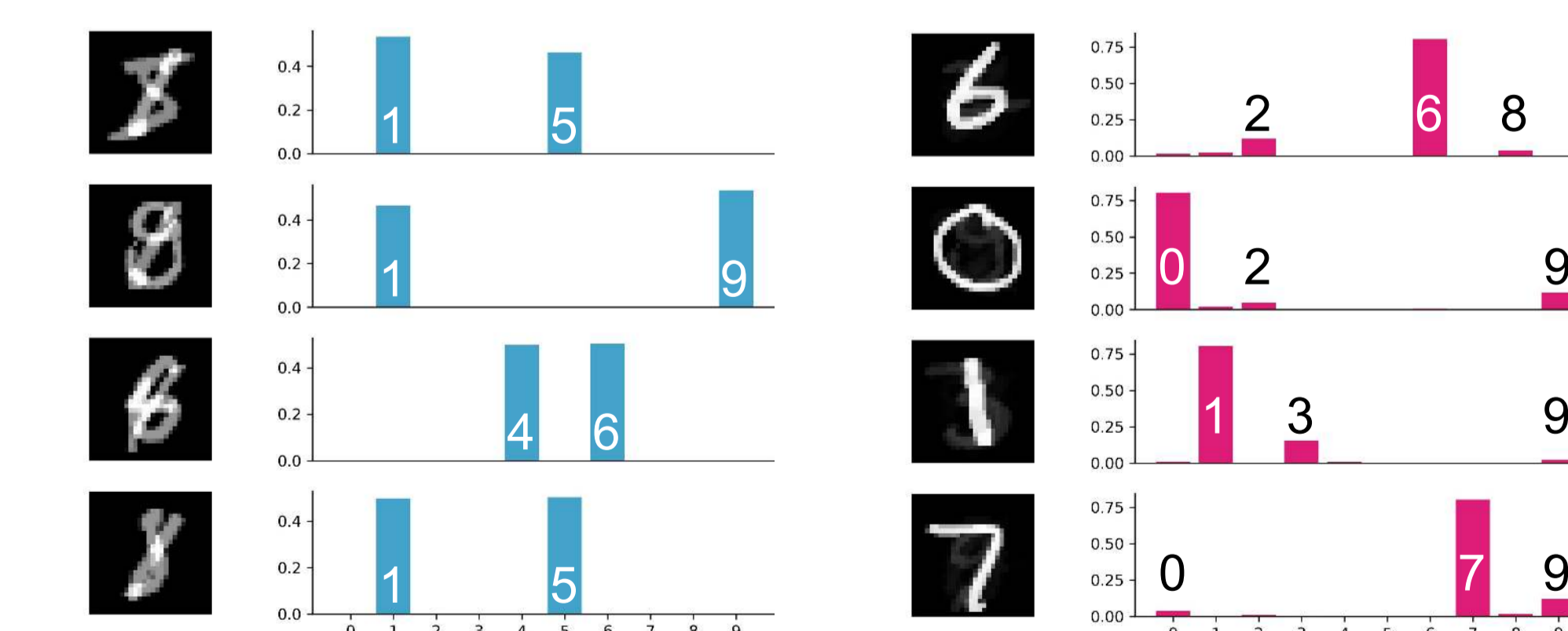


$\zeta$ -mixup better preserves the intrinsic dimensionality of datasets (estimated using 128 nearest neighbors).



mixup outputs have ghosting artifacts and lower realism.

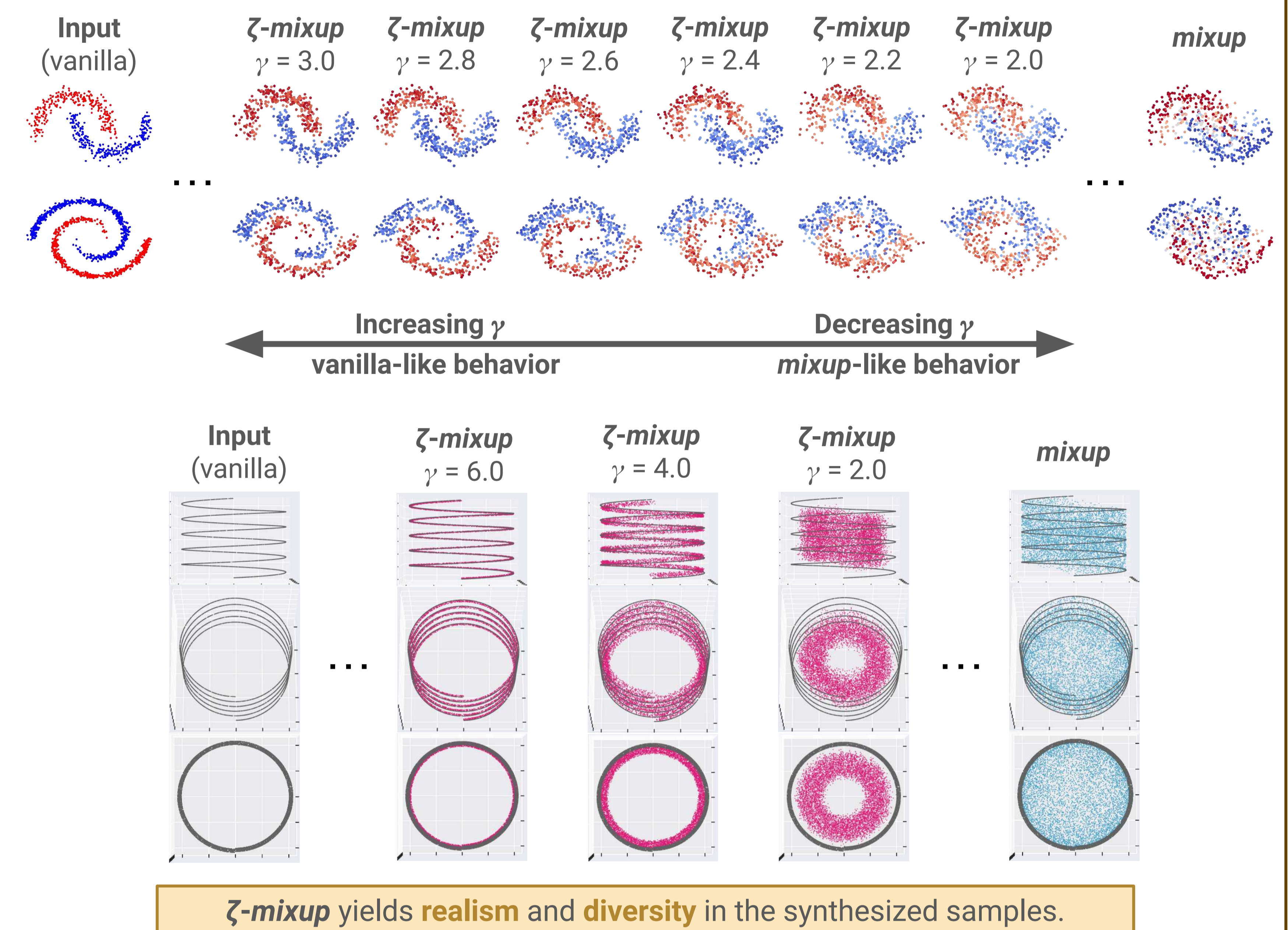
$\zeta$ -mixup outputs have a much higher realism.



mixup outputs can contain incorrect soft labels.

$\zeta$ -mixup outputs contain correct and rich soft labels, incorporating information from multiple classes.

$\zeta$ -mixup outputs exhibit **label richness, realism, and label correctness**.



$\zeta$ -mixup yields **realism** and **diversity** in the synthesized samples.

### Natural image classification (classification error rate)

Method	CIFAR-10		CIFAR-100		CIFAR-10		CIFAR-100	
	ResNet-18	ResNet-50	ResNet-18	ResNet-50	ResNet-18	ResNet-50	ResNet-18	ResNet-50
ERM	5.48	23.33	19.97	18.99	4.13	4.08	19.97	18.99
mixup	4.68	21.85	19.54	18.86	3.84	3.61	19.54	18.86
$\zeta$ -mixup	4.42	21.35	19.54	18.86	3.84	3.61	19.54	18.86

### Medical image classification (micro-averaged F1 score)

Method	ISIC 2016		ISIC 2017		ISIC 2018		DermoFit	
	ResNet-18	ResNet-50	ResNet-18	ResNet-50	ResNet-18	ResNet-50	ResNet-18	ResNet-50
ERM	0.7836	0.8127	0.7383	0.6867	0.8756	0.8653	0.8269	0.8500
mixup	0.7968	0.8179	0.7333	0.7433	0.8394	0.8601	0.8577	0.8500
$\zeta$ -mixup	0.8654	0.8602	0.7633	0.7733	0.8756	0.9016	0.8731	0.8962

$\zeta$ -mixup improves classification performance on natural and medical images (skin lesion; measured by F1-micro) datasets, and can be combined with other augmentation methods (e.g., CutMix).

### Acknowledgements



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